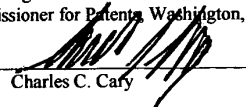


07/27/00
JC813 U.S. PTO

7-31-00
A
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on July 27, 2000 in an envelope as "Express Mail Post Office to Addressee"; service under 37 CFR §1.10, Mailing Label Number EK691534922US, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date: July 27, 2000 Signed: 

Charles C. Cary

Attorney Docket No.: **NFC1P004X1**

First Named Inventor:

GREEN et al.

JC857 U.S. PTO
09/626526
07/27/00

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))
(Regular application claiming priority of a provisional)

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

Evan D. H. GREEN and William B. CHAPMAN

For: **METHOD AND APPARATUS FOR FILTERING AN OPTICAL BEAM**

Priority of U.S. Provisional Application No. 60/145,831 filed July 27, 1999 is claimed under 35 U.S.C. § 119(e).

Application Elements:

- ☒ 30 Pages of Specification, Claims and Abstract
☒ 9 Sheets of **formal** Drawings – Figures 1-9
☒ 2 Pages unsigned Combined Declaration and Power of Attorney
☐ Separate Declaration

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ Separate Power of Attorney by Assignee
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449
☐ Copies of IDS Citations
☐ Preliminary Amendment
☒ Return Receipt Postcard
☐ Small Entity Statement(s)
☒ Other: Certificate of Express Mailing dated July 27, 2000 bearing Express Mail No.

EK691534922US

Claim For Foreign Priority

☐ Priority of _____ Application No. _____ filed on _____ is claimed under 35 U.S.C. § 119.

☐ The certified copy is enclosed.

☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:

"This application claims the benefit of U.S. Provisional Application No. _____ filed on _____, the disclosure of which is incorporated herein by reference."

Fee Calculation (37 CFR § 1.16)

| | # Filed | | # Extra | Small Entity | | or | Large Entity | |
|------------------|---------|-------|---------|--------------|-----|----|--------------|-----|
| | | | | Rate | Fee | | Rate | Fee |
| Basic Fee | | | | \$345 | | or | \$690 | 690 |
| Total Claims | 32 | -20 = | 12 | \$9 | | or | \$18 | 216 |
| Indep Claims | 2 | -03 = | 0 | \$39 | | or | \$78 | 0 |
| Mult Dep. Claims | | | | \$130 | | or | \$260 | 0 |
| | | | | TOTAL | | or | TOTAL | 906 |

☒ A check in the amount of \$906.00 enclosed [filing fee].

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-1338 (Order No. **NFC1P004X1**).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☐ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-1338 (Order No. **NFC1P004X1**).

☒ Please send correspondence to the following address:

CARY & KELLY, LLP
1875 Charleston Rd.
Mountain View, CA 94043
(650) 533-4844

Date: July 27, 2000

Signed: _____

Charles C. Cary
Registration No. 36,764

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re application of: GREEN et al.

Attorney Docket No.: NFC1P004X1

Application No.: Unknown

Examiner:

Filed: July 27, 2000

Group:

Title: **METHOD AND APPARATUS FOR
FILTERING AN OPTICAL BEAM**

CERTIFICATE OF MAILING BY EXPRESS MAILING

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

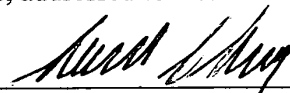
EK691534922US

| Item | Description | # Pgs |
|------|---|----------|
| 1. | Patent Application Transmittal dated July 27, 2000 | 2 pages |
| 2. | Specification [23 pages], Claims [6 pages], and Abstract [1 page] | 30 pages |
| 3. | Formal Drawings – Figures 1-9 | 9 sheets |
| 4. | Unsigned Declaration and Power of Attorney | 2 pages |
| 5. | A check in the amount of \$906.00 for filing fee | |
| 6. | Certificate of Mailing by Express Mail bearing Label No. EK691534922US | |

Relating to the above application, were deposited as "Express Mail", mailing label number **EK691534922US** with the U.S. Postal Service, addressed to Assistant Commissioner for Patents, Washington D.C. 20231 on:

Date: July 27, 2000

By


Charles C. Cary
Registration No. 36,764

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Mountain View, CA 94043
(650) 533-4844